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A Kirchhoff-type problem involving concave-convex nonlinearities

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Abstract

A Kirchhoff-type problem with concave-convex nonlinearities is studied. By constrained variational methods on a Nehari manifold, we prove that this problem has a sign-changing solution with least energy. Moreover, we show that the energy level of this sign-changing solution is strictly larger than the double energy level of the ground state solution.

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1 Introduction

We study the following Kirchhoff-type equation with concave-convex nonlinearities:

$$\begin{cases} (a + \lambda \int_{\mathbb{R}^3} |\nabla u|^2 + \lambda b \int_{\mathbb{R}^3} u^2)(-\Delta u + bu) \\ = Q(x)|u|^{p-1}u + \kappa G(x)|u|^{q-1}u, & x \in \mathbb{R}^3, \\ u \in H_r^1(\mathbb{R}^3), \end{cases} \quad (1.1)$$

where $a > 0$, $b > 0$, $\lambda > 0$, $\kappa < 0$, $p \in (3, 5)$, $q \in (0, 1)$, and $Q, G \in C(\mathbb{R}^3, \mathbb{R}^+)$ satisfying the following conditions:

- (Q₁) There exists $\beta \in [0, p - 2)$ such that $\limsup_{x \rightarrow +\infty} \frac{Q(x)}{|x|^\beta} < +\infty$;
- (G₁) $G(x) \in L^2(\mathbb{R}^3, \mathbb{R}^+)$.

In recent years, the following elliptic problem has been investigated by many researchers [1, 3, 6, 9, 17, 20]:

$$\begin{cases} -(a + b \int_{\mathbb{R}^3} |\nabla u|^2)\Delta u = f(x, u), & x \in \mathbb{R}^3, \\ u \in H^1(\mathbb{R}^3), \end{cases} \quad (1.2)$$

where $f \in C(\mathbb{R}^3 \times \mathbb{R}, \mathbb{R})$ and $a > 0$, $b > 0$. The term $\int_{\mathbb{R}^3} |\nabla u|^2$ in (1.2) has an interesting physical application. Moreover, this problem is related to the stationary analogue of the

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following equation proposed by Kirchhoff [10]:

$$u_{tt} - \left(a + b \int_{\Omega} |\nabla u|^2 \right) \Delta u = f(x, u). \tag{1.3}$$

Inspired by the variational framework given by Lions [12], problem (1.3) has been investigated by many researchers, and the reader is referred to [5, 7, 11, 13, 19, 22] and the references therein for more details.

Shuai [16] studied the ground state sign-changing solution of problem (1.2) by using Brouwer degree theory, where $f(x, u)$ is replaced with $f(u)$ with the following hypotheses:

- (f'_1) : $f(s) = o(|s|)$ as $s \rightarrow 0$;
- (f'_2) : For some constant $p \in (4, 2^*)$, $\lim_{s \rightarrow \infty} \frac{f(s)}{s^{p-1}} = 0$, where $2^* = +\infty$ for $N = 1, 2$ and $2^* = 6$ for $N = 3$;
- (f'_3) : $\lim_{s \rightarrow \infty} \frac{F(s)}{s^4} = +\infty$, where $F(s) = \int_0^s f(t) dt$;
- (f'_4) : $\frac{f(s)}{|s|^3}$ is an increasing function with respect to $s \in \mathbb{R} \setminus \{0\}$.

Huang and Liu [8] obtained the ground state sign-changing solutions of problem (1.4) with accurately two nodal domains

$$-\left(1 + \lambda \int_{\mathbb{R}^N} (|\nabla u|^2 + V(x)u^2) \right) [\Delta u + V(x)u] = |u|^{p-1}u, \quad x \in \mathbb{R}^N, \tag{1.4}$$

where $p \in (3, 5)$, $\lambda > 0$ and $V \in C(\mathbb{R}^N, \mathbb{R})$ is to ensure the establishment of compactness.

Deng et al. [4] showed the existence of radial sign-changing solutions u_k^b of problem (1.5)

$$\begin{cases} -(a + b \int_{\mathbb{R}^3} |\nabla u|^2) \Delta u + V(x)u = f(x, u), & x \in \mathbb{R}^3, \\ u \in H_r^1(\mathbb{R}^3), \end{cases} \tag{1.5}$$

by constrained minimization on the Nehari manifold, where k is any positive integer. Ye [21] studied the existence of least energy sign-changing solutions for problem (1.5), where $f(x, u)$ is replaced with $f(u)$.

Shao and Mao [15] got at least one sign-changing solution of problem (1.6) with concave-convex nonlinearities

$$\begin{cases} -(a + b \int_{\Omega} |\nabla u|^2) \Delta u = \mu g(x, u) + f(x, u), & \text{in } \Omega, \\ u = 0, & \text{on } \partial\Omega, \end{cases} \tag{1.6}$$

by using the method of invariant sets of descending flow.

Motivated by the aforementioned works, we prove the existence of sign-changing solutions with least energy for problem (1.1) with concave-convex nonlinearities and unbounded potential by constrained variational methods on a Nehari manifold.

Now we will give the main results by Theorems 1.1 and 1.2.

Theorem 1.1 *Assume that (Q_1) and (G_1) hold, then, for $a > 0, b > 0, \lambda > 0$, and $\kappa < 0$, problem (1.1) has one least energy sign-changing solution with accurately two nodal domains.*

Theorem 1.2 *Assume that (Q_1) and (G_1) hold, then, for $a > 0, b > 0, \lambda > 0$, and $\kappa < 0$, problem (1.1) has one least energy solution. Moreover $m_\lambda > 2c_\lambda$, where m_λ and c_λ are defined by (2.3) and (2.5) respectively.*

Remark 1.3 Comparing with Shuai [16], Huang and Liu [8], Deng et al. [4], and Ye [21], the difference is to consider Kirchhoff-type equation with concave and convex terms, where $Q(x)$ is unbounded at infinity. Moreover, since $H_r^1(\mathbb{R}^3) \hookrightarrow L^{q+1}(\mathbb{R}^3)$ is not compact for $q \in (0, 1)$, this means that the appearance of concave and convex terms has greatly increased the difficulty of problem (1.1). Shao and Mao [15] got sign-changing solutions for Kirchhoff equation with concave and convex terms by using the method of invariant sets of descending flow. However, we want to obtain ground state sign-changing solutions of (1.1) by variational methods and constrained minimization on the sign-changing Nehari manifold. It should be addressed that our methods are different to those in [15].

The rest of the paper is organized as follows. In Sect. 2 we give some notations and the main lemmas related to the proof of our main results. Sections 3 and 4 give the proofs of Theorems 1.1 and 1.2, respectively.

2 Some notations and preliminary lemmas

Here are some notations to be used in this paper.

- C denotes a positive constant;
- $H^1(\mathbb{R}^3)$ denotes the usual Sobolev space with the norm $\|u\|^2 = \int_{\mathbb{R}^3} (|\nabla u|^2 + b|u|^2)$;
- $|\cdot|$ denotes the usual norm $L^{\bar{q}}(\mathbb{R}^3)$ for $\bar{q} \in [1, \infty)$;
- $H_r^1(\mathbb{R}^3) := \{u : u \in H^1(\mathbb{R}^3), u(x) = u(|x|)\}$;
- $u^+ := \max\{u, 0\}$ and $u^- := \min\{u, 0\}$.

Lemma 2.1 (see Berestycki and Lions [2]) *Let $N \geq 2$ and $u \in H_r^1(\mathbb{R}^N)$, Then*

$$|u(r)| \leq C_0 \|u\| r^{\frac{1-N}{2}} \quad \text{for } r \geq 1,$$

where $C_0 > 0$ is only related to N .

Remark 2.2 For any $u \in H_r^1(\mathbb{R}^3)$, by (Q_1) , (G_1) , and Lemma 2.1, we have

$$0 \leq \int_{\mathbb{R}^3} Q(x)|u|^{p+1} \leq C_1 \|u\|^{p+1}$$

and

$$\left| \int_{\mathbb{R}^3} G(x)|u|^{q+1} \right| \leq \int_{\mathbb{R}^3} |G(x)||u|^{q+1} \leq \|G(x)\|_2 \|u\|_{\frac{2}{2(q+1)}}^{q+1} \leq C_1 \|u\|^{q+1}.$$

The energy functional $J_\lambda \in C^1(H_r^1(\mathbb{R}^3), \mathbb{R})$ is well defined by

$$J_\lambda(u) = \frac{1}{2} a \|u\|^2 + \frac{1}{4} \lambda \|u\|^4 - \frac{1}{p+1} \int_{\mathbb{R}^3} Q(x)|u|^{p+1} - \frac{1}{q+1} \kappa \int_{\mathbb{R}^3} G(x)|u|^{q+1}. \tag{2.1}$$

For each $u, v \in H_r^1(\mathbb{R}^3)$,

$$\langle J'_\lambda(u), v \rangle = a(u, v) + \lambda \|u\|^2(u, v) - \int_{\mathbb{R}^3} Q(x)|u|^{p-1}uv - \kappa \int_{\mathbb{R}^3} G(x)|u|^{q-1}uv. \tag{2.2}$$

In order to get a sign-changing solution $u^\pm \neq 0$ of (1.1), the following functionals need to be established:

$$\begin{aligned}
 J_\lambda(u) &= J_\lambda(u^+) + J_\lambda(u^-) + \frac{\lambda}{2} \|u^+\|^2 \|u^-\|^2, \\
 \langle J'_\lambda(u), u^+ \rangle &= \langle J'_\lambda(u^+), u^+ \rangle + \lambda \|u^-\|^2 \|u^+\|^2, \\
 \langle J'_\lambda(u), u^- \rangle &= \langle J'_\lambda(u^-), u^- \rangle + \lambda \|u^+\|^2 \|u^-\|^2.
 \end{aligned}$$

Let us define

$$\mathcal{M}_\lambda = \{u \in H_r^1(\mathbb{R}^3) : u^\pm \neq 0, \langle J'_\lambda(u), u^+ \rangle = \langle J'_\lambda(u), u^- \rangle = 0\}$$

and

$$m_\lambda := \inf\{J_\lambda(u) : u \in \mathcal{M}_\lambda\}. \tag{2.3}$$

In addition, we define

$$\mathcal{N}_\lambda = \{u \in H_r^1(\mathbb{R}^3) \setminus \{0\} : \langle J'_\lambda(u), u \rangle = 0\} \tag{2.4}$$

and

$$c_\lambda := \inf\{J_\lambda(u) : u \in \mathcal{N}_\lambda\}. \tag{2.5}$$

Lemma 2.3 *Assume that (Q_1) , (G_1) , and $u_n \rightharpoonup u$ in $H_r^1(\mathbb{R}^3)$ hold, then*

$$\lim_{n \rightarrow \infty} \int_{\mathbb{R}^3} G(x)|u_n|^{q+1} = \int_{\mathbb{R}^3} G(x)|u|^{q+1}.$$

In particular,

$$\lim_{n \rightarrow \infty} \int_{\mathbb{R}^3} G(x)|u_n^\pm|^{q+1} = \int_{\mathbb{R}^3} G(x)|u^\pm|^{q+1}.$$

Proof If $u_n \rightharpoonup u$ in $H_r^1(\mathbb{R}^3)$, then $u_n \rightarrow u$ in $L^{\bar{q}}(\mathbb{R}^3)$ for $\bar{q} \in (2, 6)$. According to [18, Theorem A.4, p. 134], we can obtain that $|u_n|^{q+1} \rightarrow |u|^{q+1}$ in $L^2(\mathbb{R}^3)$. By the Hölder inequality, we have

$$\begin{aligned}
 &\left| \int_{\mathbb{R}^3} G(x)|u_n|^{q+1} - \int_{\mathbb{R}^3} G(x)|u|^{q+1} \right| \\
 &\leq \int_{\mathbb{R}^3} |G(x)| \left| |u_n|^{q+1} - |u|^{q+1} \right| \\
 &\leq \|G(x)\|_2 \left| |u_n|^{q+1} - |u|^{q+1} \right|_2 \rightarrow 0.
 \end{aligned}$$

Thus, $\lim_{n \rightarrow \infty} \int_{\mathbb{R}^3} G(x)|u_n|^{q+1} = \int_{\mathbb{R}^3} G(x)|u|^{q+1}$. Similarly, $\lim_{n \rightarrow \infty} \int_{\mathbb{R}^3} G(x)|u_n^\pm|^{q+1} = \int_{\mathbb{R}^3} G(x)|u^\pm|^{q+1}$. □

Lemma 2.4 *Under the assumptions of Theorem 1.1. If $u \in H^1_r(\mathbb{R}^3)$ with $u^\pm \neq 0$, there exists a unique pair $(s_u, t_u) \in (0, +\infty) \times (0, +\infty)$ such that $s_u u^+ + t_u u^- \in \mathcal{M}_\lambda$. Moreover,*

$$J_\lambda(s_u u^+ + t_u u^-) = \max_{s, t \geq 0} J_\lambda(s u^+ + t u^-).$$

Proof Let $u \in H^1(\mathbb{R}^3)$ with $u^\pm \neq 0$. Define

$$g_1(s, t) = as^2 \|u^+\|^2 + \lambda s^4 \|u^+\|^4 + \lambda s^2 t^2 \|u^+\|^2 \|u^-\|^2 - s^{p+1} \int_{\mathbb{R}^3} Q(x) |u^+|^{p+1} - \kappa s^{q+1} \int_{\mathbb{R}^3} G(x) |u^+|^{q+1}, \tag{2.6}$$

$$g_2(s, t) = at^2 \|u^-\|^2 + \lambda t^4 \|u^-\|^4 + \lambda s^2 t^2 \|u^-\|^2 \|u^+\|^2 - t^{p+1} \int_{\mathbb{R}^3} Q(x) |u^-|^{p+1} - \kappa t^{q+1} \int_{\mathbb{R}^3} G(x) |u^-|^{q+1}. \tag{2.7}$$

According to Remark 2.2, for $\kappa < 0$, we have $g_i(s, s) > 0$ as $s > 0$ small and $g_i(t, t) < 0$ as $t > 0$ large, where $i = 1, 2$. Then there exists $0 < \mu < \nu$ such that

$$g_i(\mu, \mu) > 0, \quad g_i(\nu, \nu) < 0. \tag{2.8}$$

By (2.6), (2.7), (2.8), we have that

$$g_1(\mu, t) > 0, \quad g_1(\nu, t) < 0, \quad t \in [\mu, \nu],$$

$$g_2(s, \mu) > 0, \quad g_2(s, \nu) < 0, \quad s \in [\mu, \nu].$$

From Miranda’s theorem [14], there exists a pair (s_u, t_u) such that

$$g_1(s_u, t_u) = 0, \quad g_2(s_u, t_u) = 0, \quad \mu < s_u, t_u < \nu.$$

Thus, $s_u u^+ + t_u u^- \in \mathcal{M}_\lambda$.

Secondly, we prove the uniqueness. Let both (s_1, t_1) and (s_2, t_2) satisfy $u_i = s_i u^+ + t_i u^- \in \mathcal{M}_\lambda$ ($i = 1, 2$) and $u_1 = s_1 u^+ + t_1 u^- = ms_2 u^+ + nt_2 u^- = mu_2^+ + nu_2^-$, where $m = \frac{s_1}{s_2}$, $n = \frac{t_1}{t_2}$. By (2.6) and (2.7),

$$g_1^{u_1}(1, 1) = g_1^{u_2}(m, n) = g_1^{u_2}(1, 1) = 0, \tag{2.9}$$

$$g_2^{u_1}(1, 1) = g_2^{u_2}(m, n) = g_2^{u_2}(1, 1) = 0. \tag{2.10}$$

We only need to prove that $m = n = 1$. Now, assume that $0 < m \leq n$. By (2.9) and (2.10),

$$g_1^{u_2}(1, 1) - \frac{g_1^{u_2}(m, n)}{m^4} = 0 \tag{2.11}$$

and

$$g_2^{u_2}(1, 1) - \frac{g_2^{u_2}(m, n)}{n^4} = 0. \tag{2.12}$$

If $m < 1$, then

$$\begin{aligned} & \left(1 - \frac{1}{m^2}\right)a\|u_2^+\|^2 + \left(1 - \frac{n^2}{m^2}\right)\lambda\|u_2^-\|^2\|u_2^+\|^2 \\ &= (1 - m^{p-3}) \int_{\mathbb{R}^3} Q(x)|u_2^+|^{p+1} + (1 - m^{q-3})\kappa \int_{\mathbb{R}^3} G(x)|u_2^+|^{q+1}, \end{aligned}$$

this is impossible for $\kappa < 0$. Then $m \geq 1$. Similarly, if $n > 1$, (2.12) is impossible. Then $n \leq 1$.

Thus $m = n = 1$.

At last, let

$$\begin{aligned} H_\lambda(s, t) &= J_\lambda(su^+ + tu^-) \\ &= \frac{a}{2}s^2\|u^+\|^2 + \frac{\lambda}{4}s^4\|u^+\|^4 - \frac{s^{p+1}}{p+1} \int_{\mathbb{R}^3} Q(x)|u^+|^{p+1} - \frac{s^{q+1}}{q+1} \kappa \int_{\mathbb{R}^3} G(x)|u^+|^{q+1} \\ &\quad + \frac{a}{2}t^2\|u^-\|^2 + \frac{\lambda}{4}t^4\|u^-\|^4 - \frac{t^{p+1}}{p+1} \int_{\mathbb{R}^3} Q(x)|u^-|^{p+1} - \frac{t^{q+1}}{q+1} \kappa \int_{\mathbb{R}^3} G(x)|u^-|^{q+1} \\ &\quad + \frac{\lambda}{2}s^2t^2\|u^-\|^2\|u^+\|^2. \end{aligned}$$

Then, for $\kappa < 0$, we have $H_\lambda(s, t) > 0$ as $|(s, t)| \rightarrow 0$, $H_\lambda(s, t) < 0$ as $|(s, t)| \rightarrow \infty$, and H_λ cannot achieve the maximum point on $\partial\mathbb{R}^2$. Without loss of generality, we only prove that $(0, t_0)$ is not a maximum point of H_λ . For $s > 0$ small enough,

$$\begin{aligned} \frac{\partial H_\lambda}{\partial s}(s, t_0) &= as\|u^+\|^2 + \lambda s^3\|u^+\|^4 + \lambda s t_0^2\|u^-\|^2\|u^+\|^2 \\ &\quad - s^p \int_{\mathbb{R}^3} Q(x)|u^+|^{p+1} - s^q \kappa \int_{\mathbb{R}^3} G(x)|u^+|^{q+1} > 0, \end{aligned}$$

this implies that $H_\lambda(s, t_0)$ is an increasing function with respect to s , where $s > 0$ is small enough, then $(0, t_0)$ is not a maximum point of H_λ . Thus, there exists $(s_u, t_u) \in \mathbb{R}^2$ such that

$$J_\lambda(s_u u^+ + t_u u^-) = \max_{s, t \geq 0} J_\lambda(su^+ + tu^-). \quad \square$$

Lemma 2.5 *Under the assumptions of Theorem 1.1. If $\langle J'_\lambda(u), u^\pm \rangle \leq 0$, there exists $(s_u, t_u) \in (0, 1] \times (0, 1]$ such that $s_u u^+ + t_u u^- \in \mathcal{M}_\lambda$ for $u \in H_r^1(\mathbb{R}^3)$ with $u^\pm \neq 0$.*

Proof Let $u \in H_r^1(\mathbb{R}^3)$ with $u^\pm \neq 0$, by Lemma 2.4, there exists a pair (s_u, t_u) such that

$$\begin{aligned} & s_u^2 a\|u^+\|^2 + s_u^4 \lambda\|u^+\|^4 + s_u^2 t_u^2 \lambda\|u^-\|^2\|u^+\|^2 \\ & - s_u^{p+1} \int_{\mathbb{R}^3} Q(x)|u^+|^{p+1} - s_u^{q+1} \kappa \int_{\mathbb{R}^3} G(x)|u^+|^{q+1} = 0. \end{aligned} \tag{2.13}$$

Since $\langle J'_\lambda(u), u^\pm \rangle \leq 0$, we have that

$$a\|u^+\|^2 + \lambda\|u^+\|^4 + \lambda\|u^-\|^2\|u^+\|^2 - \int_{\mathbb{R}^3} Q(x)|u^+|^{p+1} - \kappa \int_{\mathbb{R}^3} G(x)|u^+|^{q+1} \leq 0. \tag{2.14}$$

Now, assume that $0 < t_u \leq s_u$. If $s_u > 1$, by (2.13) and (2.14),

$$\begin{aligned} & \left(1 - \frac{1}{s_u^2}\right)a\|u^+\|^2 + \left(1 - \frac{t_u^2}{s_u^2}\right)\lambda\|u^-\|^2\|u^+\|^2 \\ & \leq (1 - s_u^{p-3}) \int_{\mathbb{R}^3} Q(x)|u^+|^{p+1} + (1 - s_u^{q-3})\kappa \int_{\mathbb{R}^3} G(x)|u^+|^{q+1}, \end{aligned}$$

which is contradictory for $\kappa < 0$. Then $s_u \leq 1$. From $0 < t_u \leq s_u$, we obtain that $0 < t_u \leq s_u \leq 1$. □

Lemma 2.6 *Under the assumptions of Theorem 1.1, $m_\lambda > 0$ can be achieved.*

Proof For all $u \in \mathcal{M}_\lambda$, by the Sobolev embedding theorem, we have

$$a\|u\|^2 \leq a\|u\|^2 + \lambda\|u\|^4 = \int_{\mathbb{R}^3} Q(x)|u|^{p+1} + \kappa \int_{\mathbb{R}^3} G(x)|u|^{q+1} \leq C_1\|u\|^{p+1}.$$

Then there exists $C \geq C_1$ such that $\|u\| \geq \left(\frac{a}{C}\right)^{\frac{1}{p-1}} > 0$. Since

$$\begin{aligned} J_\lambda(u) &= J_\lambda(u) - \frac{1}{4}\langle J'_\lambda(u), u \rangle \\ &= \frac{a}{2}\|u\|^2 + \frac{\lambda}{4}\|u\|^4 - \frac{1}{p+1} \int_{\mathbb{R}^3} Q(x)|u|^{p+1} - \frac{1}{q+1} \kappa \int_{\mathbb{R}^3} G(x)|u|^{q+1} \\ &\quad - \frac{a}{4}\|u\|^2 - \frac{\lambda}{4}\|u\|^4 + \frac{1}{4} \int_{\mathbb{R}^3} Q(x)|u|^{p+1} + \frac{1}{4} \kappa \int_{\mathbb{R}^3} G(x)|u|^{q+1} \\ &= \frac{a}{4}\|u\|^2 + \left(\frac{1}{4} - \frac{1}{p+1}\right) \int_{\mathbb{R}^3} Q(x)|u|^{p+1} - \left(\frac{1}{q+1} - \frac{1}{4}\right) \kappa \int_{\mathbb{R}^3} G(x)|u|^{q+1} \\ &\geq \frac{a}{8}\|u\|^2 \end{aligned} \tag{2.15}$$

for $\kappa < 0$. Then

$$m_\lambda = \inf_{u \in \mathcal{M}_\lambda} J_\lambda(u) > 0.$$

Let $\{u_n\} \subset \mathcal{M}_\lambda$ and $J_\lambda(u_n) \rightarrow m_\lambda$. By Remark 2.2, we have

$$1 + m_\lambda \geq J_\lambda(u_n) - \frac{1}{p+1}\langle J'_\lambda(u_n), u_n \rangle \geq \frac{a}{8}\|u_n\|^2.$$

This shows that $\{u_n\}$ is bounded in $H_r^1(\mathbb{R}^3)$. Then there exists $u_\lambda \in H_r^1(\mathbb{R}^3)$ such that $u_n^\pm \rightharpoonup u_\lambda^\pm$ in $H_r^1(\mathbb{R}^3)$, $u_n^\pm \rightarrow u_\lambda^\pm$ in $L^q(\mathbb{R}^3)$ for $q \in (2, 6)$ and $u_n^\pm(x) \rightarrow u_\lambda^\pm(x)$ a.e. on \mathbb{R}^3 . Since $\{u_n\} \subset \mathcal{M}_\lambda$, we have

$$0 < C \leq a\|u_n^\pm\|^2 + \lambda\|u_n^\pm\|^4 + \lambda\|u_n^+\|^2\|u_n^-\|^2 = \int_{\mathbb{R}^3} Q(x)|u_n^\pm|^{p+1} + \kappa \int_{\mathbb{R}^3} G(x)|u_n^\pm|^{q+1}.$$

By Fatou’s lemma and Lemma 2.3,

$$a\|u_\lambda^\pm\|^2 + \lambda\|u_\lambda^\pm\|^4 + \lambda\|u_\lambda^+\|^2\|u_\lambda^-\|^2 \leq \int_{\mathbb{R}^3} Q(x)|u_\lambda^\pm|^{p+1} + \kappa \int_{\mathbb{R}^3} G(x)|u_\lambda^\pm|^{q+1},$$

this implies that

$$\langle J'_\lambda(u_\lambda), u_\lambda^\pm \rangle \leq 0.$$

By Lemmas 2.4 and 2.5, there exists $(s_{u_\lambda}, t_{u_\lambda}) \in (0, 1] \times (0, 1]$ such that $\tilde{u}_\lambda = s_{u_\lambda} u_\lambda^+ + t_{u_\lambda} u_\lambda^- \in \mathcal{M}_\lambda$. Then

$$\begin{aligned} m_\lambda &\leq J_\lambda(\tilde{u}_\lambda) - \frac{1}{p+1} \langle J'_\lambda(\tilde{u}_\lambda), \tilde{u}_\lambda \rangle \\ &= \left(\frac{1}{2} - \frac{1}{p+1} \right) a \|\tilde{u}_\lambda\|^2 + \left(\frac{1}{4} - \frac{1}{p+1} \right) \lambda \|\tilde{u}_\lambda\|^4 - \left(\frac{1}{q+1} - \frac{1}{p+1} \right) \kappa \int_{\mathbb{R}^3} G(x) |\tilde{u}_\lambda|^{q+1} \\ &\leq \frac{p-1}{2(p+1)} a \|u_\lambda\|^2 + \frac{p-3}{4(p+1)} \lambda \|u_\lambda\|^4 - \frac{p-q}{(q+1)(p+1)} \kappa \int_{\mathbb{R}^3} G(x) |u_\lambda|^{q+1} \\ &\leq \liminf_n \left\{ \frac{p-1}{2(p+1)} a \|u_n\|^2 + \frac{p-3}{4(p+1)} \lambda \|u_n\|^4 - \frac{p-q}{(q+1)(p+1)} \kappa \int_{\mathbb{R}^3} G(x) |u_n|^{q+1} \right\} \\ &= \liminf_n \left(J_\lambda(u_n) - \frac{1}{p+1} \langle J'_\lambda(u_n), u_n \rangle \right) \\ &= m_\lambda, \end{aligned}$$

this implies that $s_{u_\lambda} = t_{u_\lambda} = 1$. Thus, $\tilde{u}_\lambda = u_\lambda$ and $J_\lambda(u_\lambda) = m_\lambda$. □

3 Sign-changing solutions

Lemma 3.1 *Under the assumptions of Theorem 1.1. If $u_\lambda \in \mathcal{M}_\lambda$ and $J_\lambda(u_\lambda) = m_\lambda$, then $J'_\lambda(u_\lambda) = 0$.*

Proof Suppose that $J'_\lambda(u_\lambda) \neq 0$, then there are $\sigma, \delta > 0$ such that

$$\|J'_\lambda(u)\| \geq \sigma, \quad \forall \|u - u_\lambda\| \leq 3\delta.$$

Let $D = (0.5, 1.5) \times (0.5, 1.5)$. By Lemma 2.4, we obtain that

$$\iota := \max_{(s,t) \in \partial D} J_\lambda(su_\lambda^+ + tu_\lambda^-) < m_\lambda. \tag{3.1}$$

For $\varepsilon := \min\{(m_\lambda - \iota)/2, \sigma\delta/8\}$ and $S := B(u_\lambda, \delta)$, Willem [18, Lemma 2.3] produce a deformation η such that

- (i) $\eta(1, u) = u$ if $u \notin J_\lambda^{-1}([m_\lambda - 2\varepsilon, m_\lambda + 2\varepsilon]) \cap S_{2\delta}$;
- (ii) $\eta(1, J_\lambda^{m_\lambda + \varepsilon} \cap S) \subset J_\lambda^{m_\lambda - \varepsilon}$;
- (iii) $J_\lambda(\eta(1, u)) \leq J_\lambda(u)$ for all $u \in H^1_r(\mathbb{R}^3)$.

At first, we show that

$$\max_{(s,t) \in \bar{D}} J_\lambda(\eta(1, su_\lambda^+ + tu_\lambda^-)) < m_\lambda.$$

For all $(s, t) \in \bar{D}$, by Lemma 2.4, we obtain $J_\lambda(su_\lambda^+ + tu_\lambda^-) \leq m_\lambda < m_\lambda + \varepsilon$, that is, $su_\lambda^+ + tu_\lambda^- \in J_\lambda^{m_\lambda + \varepsilon}$. Therefore, $J_\lambda(\eta(1, su_\lambda^+ + tu_\lambda^-)) \leq m_\lambda - \varepsilon$.

Next, we prove that

$$\eta(1, su_\lambda^+ + tu_\lambda^-) \cap \mathcal{M}_\lambda \neq \emptyset, \quad \forall (s, t) \in \bar{D}.$$

Define $h(s, t) = \eta(1, su_\lambda^+ + tu_\lambda^-)$ and $\psi : [0, 1] \times \bar{D} \rightarrow \mathbb{R}^2$, for any $\vartheta \in [0, 1]$, we have

$$\begin{aligned} \psi(\vartheta, (s, t)) &= \left(\langle J'_\lambda(\eta(\vartheta, su_\lambda^+ + tu_\lambda^-)), (\eta(\vartheta, su_\lambda^+ + tu_\lambda^-))^+ \rangle, \right. \\ &\quad \left. \langle J'_\lambda(\eta(\vartheta, su_\lambda^+ + tu_\lambda^-)), (\eta(\vartheta, su_\lambda^+ + tu_\lambda^-))^- \rangle \right). \end{aligned}$$

Let

$$\begin{aligned} \psi_0 &= \psi_0(1, \cdot) = \langle J'_\lambda(su_\lambda^+ + tu_\lambda^-)su_\lambda^+, J'_\lambda(su_\lambda^+ + tu_\lambda^-)tu_\lambda^- \rangle, \\ \psi_1 &= \psi_1(1, \cdot) = \langle J'_\lambda(h(s, t))h^+(s, t), J'_\lambda(h(s, t))h^-(s, t) \rangle. \end{aligned}$$

By a simple calculation, $\text{deg}(\psi_0, D, 0) = 1$. According to (3.1), we obtain that $u_\lambda = h$ on ∂D and from homotopy invariance that

$$\text{deg}(\psi_1, D, 0) = \text{deg}(\psi_0, D, 0) = 1.$$

Then there exists a pair $(s_0, t_0) \in D$ such that $\psi_1(s_0, t_0) = 0$ and $\eta(1, s_0u_\lambda^+ + t_0u_\lambda^-) = h(s_0, t_0) \in \mathcal{M}_\lambda$, which contradicts (3.1). Therefore, u_λ is a critical point of J_λ , and so a sign-changing solution of (1.1). \square

Proof of Theorem 1.1 Firstly, by the preceding lemmas, there exists $u_\lambda \in \mathcal{M}_\lambda$ such that $J_\lambda(u_\lambda) = m_\lambda$ and $J'_\lambda(u_\lambda) = 0$. Thus, problem (1.1) has one least energy sign-changing solution u_λ .

Secondly, we prove that u_λ has only two nodal domains. Assume that $u_\lambda = u_1 + u_2 + u_3$ with

$$\begin{aligned} u_i &\not\equiv 0, \quad u_1 \geq 0, \quad u_2 \leq 0, \\ \text{supp}(u_i) \cap \text{supp}(u_j) &= \emptyset, \quad i \neq j, i, j = 1, 2, 3. \end{aligned}$$

Setting $w = u_1 + u_2$ with $w^+ = u_1$ and $w^- = u_2$, i.e., $w^\pm \neq 0$. Since $J'_\lambda(u_\lambda) = 0$, we get

$$\begin{aligned} \langle J'_\lambda(w), w^+ \rangle &= \langle J'_\lambda(u_1 + u_2), u_1 \rangle \leq \langle J'_\lambda(u_\lambda), u_1 \rangle = 0, \\ \langle J'_\lambda(w), w^- \rangle &= \langle J'_\lambda(u_1 + u_2), u_2 \rangle \leq \langle J'_\lambda(u_\lambda), u_2 \rangle = 0. \end{aligned}$$

By Lemma 2.5, there exists $(s_w, t_w) \in (0, 1] \times (0, 1]$ such that

$$s_w w^+ + t_w w^- = s_w u_1 + t_w u_2 \in \mathcal{M}_\lambda, \quad m_\lambda \leq J_\lambda(s_w u_1 + t_w u_2).$$

Note that $\langle J'_\lambda(u_\lambda), u_\lambda \rangle = 0$ and $\langle J'_\lambda(s_w u_1 + t_w u_2), s_w u_1 + t_w u_2 \rangle = 0$, we have

$$\begin{aligned} m_\lambda &= J_\lambda(u_\lambda) - \frac{1}{p+1} \langle J'_\lambda(u_\lambda), u_\lambda \rangle \\ &= \left(\frac{1}{2} - \frac{1}{p+1} \right) a \|u_\lambda\|^2 + \left(\frac{1}{4} - \frac{1}{p+1} \right) \lambda (\|u_\lambda\|^2)^2 \\ &\quad - \left(\frac{1}{q+1} - \frac{1}{p+1} \right) \kappa \int_{\mathbb{R}^3} G(x) |u_\lambda|^{q+1} \end{aligned}$$

$$\begin{aligned}
 &> \left(\frac{1}{2} - \frac{1}{p+1}\right)a(\|u_1\|^2 + \|u_2\|^2) \\
 &\quad + \left(\frac{1}{4} - \frac{1}{p+1}\right)\lambda(\|u_1\|^4 + 2\|u_1\|^2\|u_2\|^2 + \|u_2\|^4) \\
 &\quad - \left(\frac{1}{q+1} - \frac{1}{p+1}\right)\kappa \int_{\mathbb{R}^3} G(x)(|u_1|^{q+1} + |u_2|^{q+1}) \\
 &\geq \left(\frac{1}{2} - \frac{1}{p+1}\right)a(\|s_w u_1\|^2 + \|t_w u_2\|^2) \\
 &\quad + \left(\frac{1}{4} - \frac{1}{p+1}\right)\lambda(\|s_w u_1\|^4 + 2\|s_w u_1\|^2\|t_w u_2\|^2 + \|t_w u_2\|^4) \\
 &\quad - \left(\frac{1}{q+1} - \frac{1}{p+1}\right)\kappa \int_{\mathbb{R}^3} G(x)(|s_w u_1|^{q+1} + |t_w u_2|^{q+1}) \\
 &= \left(\frac{1}{2} - \frac{1}{p+1}\right)a\|s_w u_1 + t_w u_2\|^2 + \left(\frac{1}{4} - \frac{1}{p+1}\right)\lambda(\|s_w u_1 + t_w u_2\|^2)^2 \\
 &\quad - \left(\frac{1}{q+1} - \frac{1}{p+1}\right)\kappa \int_{\mathbb{R}^3} G(x)|s_w u_1 + t_w u_2|^{q+1} \\
 &= J_\lambda(s_w u_1 + t_w u_2) - \frac{1}{p+1} \langle J'_\lambda(s_w u_1 + t_w u_2), s_w u_1 + t_w u_2 \rangle \\
 &= J_\lambda(s_w u_1 + t_w u_2) \\
 &\geq m_\lambda,
 \end{aligned}$$

which is a contradiction. □

4 Ground state solutions

Lemma 4.1 (Mountain pass theorem [18]) *Let X be a Banach space, $I \in C^1(X, \mathbb{R})$, $e \in X$, and $\rho > 0$ such that $\|e\| > \rho$ and*

$$\inf_{\|u\|=\rho} I(u) > I(0) \geq I(e).$$

If I satisfies the $(PS)_c$ condition with

$$\begin{aligned}
 c &:= \inf_{\gamma \in \Gamma} \max_{t \in [0,1]} I(\gamma(t)), \\
 \Gamma &:= \{\gamma \in C([0, 1], X) : \gamma(0) = 0, \gamma(1) = e\},
 \end{aligned}$$

then c is a critical value of I .

Lemma 4.2 *Under the assumptions of Theorem 1.2, there exist $e \in H_r^1(\mathbb{R}^3)$ and $\rho > 0$ such that $\|e\| > \rho$ and $\inf_{\|u\|=\rho} J_\lambda(u) > J_\lambda(0) > J_\lambda(e)$.*

Proof For all $u \in H_r^1(\mathbb{R}^3)$, by Remark 2.2,

$$\begin{aligned}
 J_\lambda(u) &= \frac{a}{2}\|u\|^2 + \frac{\lambda}{4}\|u\|^4 - \frac{1}{p+1} \int_{\mathbb{R}^3} Q(x)|u|^{p+1} - \frac{\kappa}{q+1} \int_{\mathbb{R}^3} G(x)|u|^{q+1} \\
 &\geq \frac{a}{2}\|u\|^2 + \frac{\lambda}{4}\|u\|^4 - \frac{C_1}{p+1}\|u\|^p,
 \end{aligned}$$

then there exists $\rho > 0$ such that

$$b := \inf_{\|u\|=\rho} J_\lambda(u) > 0 = J_\lambda(0).$$

Let $t \geq 0$, we have

$$J_\lambda(tu) = \frac{t^2}{2}a\|u\|^2 + \frac{t^4}{4}\lambda\|u\|^4 - \frac{t^{p+1}}{p+1} \int_{\mathbb{R}^3} Q(x)|u|^{p+1} - \frac{t^{q+1}}{q+1} \kappa \int_{\mathbb{R}^3} G(x)|u|^{q+1},$$

then there exists $e := tu$ such that $\|e\| > \rho$ and $J_\lambda(e) < 0$. □

Lemma 4.3 *Under the assumptions of Theorem 1.2. J_λ satisfies the $(PS)_c$ condition.*

Proof Let $\{u_n\} \subset H_r^1(\mathbb{R}^3)$ and $J_\lambda(u_n) \rightarrow c, J'_\lambda(u_n) \rightarrow 0$ as $n \rightarrow \infty$. By (2.15) in Lemma 2.6 above, it is easy to see that $\{u_n\}$ is bounded in $H_r^1(\mathbb{R}^3)$. Going if necessary to a subsequence, $u_n \rightharpoonup u$ in $H_r^1(\mathbb{R}^3)$, $u_n \rightarrow u$ in $L^s(\mathbb{R}^3)$ for $s \in (2, 6)$, and $u_n(x) \rightarrow u(x)$ a.e. on \mathbb{R}^3 , then by (G_1) we have

$$\begin{aligned} & \left| \int_{\mathbb{R}^3} G(x)|u_n|^q(u_n - u) \right| \\ & \leq \int_{\mathbb{R}^3} |G(x)| |u_n|^q |u_n - u| \\ & \leq \left(\int_{\mathbb{R}^3} |G(x)|^2 \right)^{\frac{1}{2}} \left(\int_{\mathbb{R}^3} |u_n|^{2q} |u_n - u|^2 \right)^{\frac{1}{2}} \\ & \leq |G(x)|_2 \left(\int_{\mathbb{R}^3} |u_n|^{2q+2} \right)^{\frac{q}{2q+2}} \left(\int_{\mathbb{R}^3} |u_n - u|^{2q+2} \right)^{\frac{1}{2q+2}} \\ & \leq C |G(x)|_2 \|u_n\|^q \|u_n - u\|_{2q+2} \rightarrow 0. \end{aligned}$$

Since

$$\begin{aligned} & \langle J'_\lambda(u_n) - J'_\lambda(u), u_n - u \rangle \rightarrow 0, \\ & \int_{\mathbb{R}^3} Q(x)(|u_n|^p - |u|^p)(u_n - u) \rightarrow 0 \end{aligned}$$

and

$$\begin{aligned} & (a + \lambda\|u_n\|^2)\|u_n - u\|^2 \\ & = \langle J'_\lambda(u_n) - J'_\lambda(u), u_n - u \rangle + \lambda(\|u\|^2 - \|u_n\|^2)\langle u, u_n - u \rangle \\ & \quad + \int_{\mathbb{R}^3} Q(x)(|u_n|^p - |u|^p)(u_n - u) + \int_{\mathbb{R}^3} G(x)(|u_n|^q - |u|^q)(u_n - u). \end{aligned}$$

Thus, $u_n \rightarrow u$ in $H_r^1(\mathbb{R}^3)$. □

Set

$$c_1 = \inf_{u \in H_r^1(\mathbb{R}^3) \setminus \{0\}} \max_{t \geq 0} J_\lambda(tu).$$

Lemma 4.4 *Under the assumptions of Theorem 1.2, we have $c = c_\lambda = c_1$.*

Proof Similar to the proof of Lemma 2.4, for all $u \in H^1_r(\mathbb{R}^3) \setminus \{0\}$, there exists unique $t_u u \in \mathcal{N}$ such that $J_\lambda(t_u u) = \max_{t \geq 0} J_\lambda(tu)$, this implies that $c_\lambda \leq c_1$.

For each $\gamma \in \Gamma$, it follows from the property of \mathcal{N} that $\gamma(t)$ crosses \mathcal{N} as t varying over $[0, 1]$. Since $\gamma(0) = 0, J_\lambda(\gamma(1)) < 0$, then

$$\max_{t \in [0,1]} J_\lambda(\gamma(t)) \geq \inf_{u \in \mathcal{N}} J_\lambda(u) = c_\lambda.$$

Therefore $c \geq c_\lambda$. On the other hand, for $u \in H^1_r(\mathbb{R}^3) \setminus \{0\}$, we have that $J_\lambda(tu) < 0$ for t large enough, and then

$$\max_{t \geq 0} J_\lambda(tu) \geq \max_{t \in [0,1]} J_\lambda(tu) \geq \inf_{\gamma \in \Gamma} \max_{t \in [0,1]} J_\lambda(\gamma(t)) = c.$$

Therefore $c_1 \geq c$. □

Proof of Theorem 1.2 According to Lemmas 4.1, 4.2, 4.3, and 4.4, we obtain that problem (1.1) has one least energy solution.

Now we prove $m_\lambda > 2c_\lambda$. By the proof of Theorem 1.1, there exists $u_\lambda \in \mathcal{M}_\lambda$ such that $J_\lambda(u_\lambda) = m_\lambda$. By Lemmas 2.4 and 4.4, we have

$$\begin{aligned} m_\lambda &= J_\lambda(u_\lambda) \\ &\geq J_\lambda(su_\lambda^+ + tu_\lambda^-) \\ &= J_\lambda(su_\lambda^+) + J_\lambda(tu_\lambda^-) + \frac{s^2 t^2}{2} \lambda \|u_\lambda^+\|^2 \|u_\lambda^-\|^2 \\ &> J_\lambda(su_\lambda^+) + J_\lambda(tu_\lambda^-) \\ &\geq 2c_\lambda. \end{aligned}$$
□

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Authors' contributions

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