

RESEARCH

Open Access



Mittag-Leffler stabilization of fractional-order nonlinear systems with unknown control coefficients

Xuhuan Wang*

*Correspondence:
wangxuhuan84@163.com
Department of Education Science,
Pingxiang University, Pingxiang,
337055, People's Republic of China

Abstract

In this paper, we consider the problem of Mittag-Leffler stabilization of fractional-order nonlinear systems with unknown control coefficients. With the help of backstepping design method, the stabilizing functions and tuning functions are constructed. The controller is designed to ensure that the pseudo-state of the fractional-order nonlinear system converges to the equilibrium. The effectiveness of the proposed method has been verified by some simulation examples.

Keywords: fractional order; nonlinear systems; backstepping; adaptive control; tuning function

1 Introduction

The concept of fractional differentiation appeared for the first time in a famous correspondence between L'Hospital and Leibniz, in 1695. Many mathematicians have further developed this area and we can mention the studies of Euler, Laplace, Abel, Liouville and Riemann. However, the fractional calculus remained for centuries a purely theoretical topic, with little if any connections to practical problems of physics and engineering. In recent years, the fractional calculus has been recognized as an effective modeling methodology for researchers [1]. As is well known, fractional calculus is a generalization of classical calculus to non-integer order. Compared with an integer-order system, a fractional-order system is a better option for engineering physics.

Fractional systems have been paid much attention to, for example, the fractional optimal control problems [2], stability analysis of Caputo-like discrete fractional systems [3, 4], fractional description of financial systems [5], fractional chaotic systems [6]. Especially, stabilization problem of fractional-order systems is a very interesting and important research topic. In recent years, more and more researchers and scientists have begun to address this problem [7–23]. With the help of the Lyapunov direct method, Mittag-Leffler stability and generalized Mittag-Leffler stability was studied [10, 20]. The Lyapunov direct method deals with the stability problem of fractional-order systems have been extended [11, 24]. Reference [25] studied the global Mittag-Leffler stability for a coupled system of fractional-order differential equations on network with feedback controls. Robust stability and stabilization of fractional-order interval systems with $0 < \alpha < 1$ order have been studied [15]. Necessary and sufficient conditions on the asymptotic stability of the positivity

continuous time fractional-order systems with bounded time-varying delays are investigated by the monotonic and asymptotic property [14]. The stabilization problem of a class of fractional-order chaotic systems have been addressed [12]. Pseudo-state stabilization problem of fractional-order nonlinear systems has attracted the attention of some researchers [7–9, 13, 16]. Moreover, any equilibrium of a general fractional-order nonlinear system described by either Caputo's or Riemann-Liouville's definition can never be finite-time stable was proved [26]. Finite-time fractional-order adaptive intelligent backstepping sliding mode control have been proposed to deal with uncertain fractional-order chaotic systems [27]. The time-optimal control problem for a class of fractional-order systems was proposed [28]. In addition, robust controller design problem for a class of fractional-order nonlinear systems with time-varying delays was investigated [29] and state feedback H_∞ control of commensurate fractional-order systems was studied [30].

Backstepping design method has been widely applied in stabilizing a general class of integer-order nonlinear systems. Backstepping design offers a choice of design tools for accommodation of uncertain nonlinearities [31]. It is well known that the backstepping design has been reported for nonlinear systems in strict-feedback form or triangular form [31–36]. Systematic design of globally stable and adaptive controllers for a class of parametric strict-feedback form are investigated by the backstepping design procedure [34]. The overparametrization and partial overparametrization problems were soon eliminated by elegantly introducing the tuning functions [33, 35]. On the other hand, with the aids of this frequency distribute model and the indirect Lyapunov method, the adaptive backstepping control of fractional-order systems were established [37–39]. As far as we know, there are few results on the generalization of backstepping into fractional-order systems. It was pointed out that the well-known Leibniz rule is not satisfied for fractional-order systems. Then an interesting question arises: when the states of system are not Leibniz rule, how to deal with the stabilization problem through design of tuning functions and adaptive feedback control law? So far, the stabilization problem of fractional-order nonlinear systems remains an open problem.

In this paper, we investigate the Mittag-Leffler stabilization problem of a class of fractional-order nonlinear systems. Compared with the existing results, the main contributions of this paper are as follows: (1) The backstepping design is extended to fractional-order nonlinear systems with unknown control coefficients, and an adaptive control scheme with tuning functions is proposed. It is proved that the stabilization problem of fractional-order nonlinear systems can be solved by the designed control scheme. (2) The Mittag-Leffler stabilization problem is achieved using a systematic design procedure and without any growth restriction on nonlinearities. (3) The controller is designed to ensure that the pseudo-state of the fractional-order system convergence to the equilibrium. (4) Successfully overcoming the difficulty of the fractional-order system without the Leibniz rule, and the tuning function is constructed to avoid overparameterization.

The remainder of this paper is organized as follows: Section 2 the problem formulation, some necessary concepts and some necessary lemmas are given. In Section 3, as the main part of this note, an adaptive controller and tuning functions are designed by using the backstepping method for fractional-order nonlinear systems. In Section 4, two numerical simulations are provided to illustrate the effectiveness of the proposed results. Finally, Section 5 concludes this study.

2 Problem formulation and preliminary results

In this paper, we consider the stabilization problem of the following nonlinear fractional systems:

$$\begin{aligned} D^q x_i &= b_i x_{i+1} + \theta^T \varphi_i(x_1, \dots, x_i), \quad i = 1, \dots, n-1, \\ D^q x_n &= \varphi_0(x) + \theta^T \varphi_n(x_1, \dots, x_n) + b_n \beta_0(x) u, \end{aligned} \quad (1)$$

where $x = [x_1, \dots, x_n]^T \in R^n$, D^q is the Caputo fractional derivative of order $0 < q \leq 1$, $\theta \in R^p$ is an unknown constant parameter and $b_i, i = 1, 2, \dots, n$, are unknown constants, called unknown control coefficients. $u \in R$ is the control input, φ_0, β_0 and the components of $\varphi_i, 1 \leq i \leq n$ are smooth nonlinear functions in R^n and $\beta_0(x) \neq 0$ for all $x \in R^n$.

Remark 1 It is worth pointing out that if let the unknown constants $b_i = 1$ ($i = 1, \dots, n$) and $q = 1$ in (1), the systems (1) reduces to the well-known parametric strict-feedback system. Moreover, if $b_i = 1$ ($i = 1, \dots, n$) and $\varphi_0(x)$ is a constant, then system (1) will become the parametric strict-feedback form of fractional-order nonlinear system.

Definition 1 ([40]) The fractional-order derivative D_t^q ($q > 0$) of $g(t)$ in Caputo sense is defined as

$${}_C D_t^q g(t) = \frac{1}{\Gamma(n-q)} \int_{t_0}^t (t-s)^{n-q-1} g^{(n)}(s) ds, \quad (2)$$

where $n-1 < q \leq n \in N$.

Remark 2 For simplicity, the symbol D^q is shorted for ${}_C D_t^q$, where t is the time.

(1) If C is a constant, then $D^q C = 0$.

Similar to integer-order differentiation, fractional-order differentiation in Caputo's sense is a linear operation:

(2) $D^q(\mu g(t) + \omega h(t)) = \mu D^q g(t) + \omega D^q h(t)$,

where μ and ω are real numbers.

(3) Leibniz rule:

$$D^q(g(t)h(t)) = \sum_{r=0}^{\infty} \frac{\Gamma(q+1)}{\Gamma(r+1)\Gamma(q-r+1)} D^{q-r} g(t) D^r h(t).$$

Note that the sum is infinite and contains integrals of fractional order for $r > [q] + 1$.

Remark 3 The well-known Leibniz rule $D^q(fg) = (D^q f)g + f(D^q g)$ is not satisfied for differentiation of non-integer orders.

Lemma 1 ([13]) Let $V : D \rightarrow R$ be a continuous positive definite function defined on a domain $D \subset R^n$ that contains the origin. Let $B_d \subset D$ for some $d > 0$. Then there exist class- K functions γ_1 and γ_2 defined on $[0, d]$, such that

$$\gamma_1(\|x\|) \leq V(x) \leq \gamma_2(\|x\|), \quad (3)$$

for all $x \in B_d$. If $D = R^n$, the functions γ_1 and γ_2 will be defined on $[0, \infty)$.

Lemma 2 ([7, 11] (Mittag-Leffler stability)) *Let $x(t) = 0$ be the equilibrium point of the fractional-order system $D^q x = f(x, t), x \in \Omega$, where Ω is neighborhood region of the origin. Assume that there exists a fractional Lyapunov function $V(t, x(t)) : [0, \infty) \times R^n \rightarrow R$ and class-K functions $\gamma_i, i = 1, 2, 3$ satisfying*

- (i) $\gamma_1(\|x\|) \leq V(t, x(t)) \leq \gamma_2(\|x\|);$
- (ii) $D^q V(t, x(t)) \leq -\gamma_3(\|x\|).$

Then the fractional-order system is asymptotically Mittag-Leffler stable. Moreover, if $\Omega = R^n$, the fractional-order system is globally asymptotically Mittag-Leffler stable.

Lemma 3 ([24]) *Let $x(t) \in R$ be a real continuously differentiable function. Then, for any time instant $t \geq t_0$,*

$$\frac{1}{2} {}_{t_0}D_t^\alpha x^2(t) \leq x(t) {}_{t_0}D_t^\alpha x(t), \quad \forall \alpha \in (0, 1). \quad (4)$$

Remark 4 In the case when $x(t) \in R^n$, Lemma 3 is still valid. That is, $\alpha \in (0, 1)$ and $t \geq t_0$, $\frac{1}{2} D^\alpha x^T(t)x(t) \leq x^T(t) D^\alpha x(t)$. In addition, let $x(t) \in R$ be a real continuously differentiable function. Then, for any $p = 2^n, n \in N, D^\alpha x^p(t) \leq p x^{p-1}(t) D^\alpha x(t)$, where $0 < \alpha < 1$ (see [7]).

3 Backstepping design

In this section, we will give the backstepping design procedure of fractional-order systems.

Theorem 1 *The fractional-order nonlinear system (1) can be asymptotically Mittag-Leffler stable by the adaptive feedback control*

$$u = -\frac{1}{b_n \beta_0} \left(b_{n-1} z_{n-1} + c_n z_n + \varphi_0 + \hat{\theta}^T \varphi_n + \frac{b_{n-2}}{b_{n-1}} D^q z_{n-2} + \frac{c_{n-1}}{b_{n-1}} D^q z_{n-1} \right). \quad (5)$$

$$\alpha_i(x_1, \dots, x_i, \hat{\theta}) = -\frac{1}{b_i} \left(b_{i-1} z_{i-1} + c_i z_i + \hat{\theta}^T \varphi_i + \frac{b_{i-2}}{b_{i-1}} D^q z_{i-2} + \frac{c_{i-1}}{b_{i-1}} D^q z_{i-1} \right),$$

$$2 \leq i \leq n-1, \quad (6)$$

where $\alpha_1(x_1, \hat{\theta}) = -\frac{c_1}{b_1} z_1 - \frac{1}{b_1} \hat{\theta}^T \varphi_1(x_1)$, and c_1, c_2, \dots, c_n are positive constants. $\hat{\theta}$ is the estimate of the unknown parameter θ , $\tilde{\theta} = \hat{\theta} - \theta$ and update laws

$$D^q \hat{\theta} = \tau_n = \Gamma z_1 \varphi_1 + \sum_{k=1}^{n-1} \Gamma z_{k+1} \left(\varphi_{k+1} - \frac{1}{\tilde{\theta} b_k} D^q (\hat{\theta} \varphi_k) \right), \quad (7)$$

where $\Gamma = \text{diag}[p_1, \dots, p_m] > 0$ is the gain matrix of the adaptive law.

Proof The design procedure is recursive. Its i th-order subsystem is stabilized with respect to a Lyapunov function V_i by the design of a stabilizing function α_i and a tuning function τ_i . The update law for the parameter estimate $\hat{\theta}$ and the feedback control u are designed at the final step.

Step 1: Let $z_1 = x_1$ and $z_2 = x_2 - \alpha_1$, we rewrite $D^q x_1 = b_1 x_2 + \theta^T \varphi_1(x_1)$ as

$$D^q z_1 = b_1(z_2 + \alpha_1) + \theta^T \varphi_1(x_1). \quad (8)$$

Choose a Lyapunov function candidate as $V_1 = \frac{1}{2}z_1^2 + \frac{1}{2}\tilde{\theta}^T\Gamma^{-1}\tilde{\theta}$, where $\tilde{\theta} = \hat{\theta} - \theta$ is the parameter estimate error. We have

$$D^q V_1 \leq z_1 [b_1(z_2 + \alpha_1) + \hat{\theta}^T \varphi_1] + \tilde{\theta}^T \Gamma^{-1} (D^q \hat{\theta} - \tau_1), \quad (9)$$

where

$$\tau_1 = \Gamma z_1 \varphi_1(x_1). \quad (10)$$

To make $D^q V_1 \leq -c_1 z_1^2$, we would choose

$$\alpha_1(x_1, \hat{\theta}) = -\frac{1}{b_1} (c_1 z_1 + \hat{\theta}^T \varphi_1(x_1)). \quad (11)$$

However, we retain τ_1 as the first tuning function and α_1 as the first stabilizing function. We have

$$D^q V_1 \leq -c_1 z_1^2 + b_1 z_1 z_2 + \tilde{\theta}^T \Gamma^{-1} (D^q \hat{\theta} - \tau_1). \quad (12)$$

The second term $b_1 z_1 z_2$ in $D^q V_1$ will be canceled at the next step.

Substituting (11) into (8) yields

$$D^q z_1 = -c_1 z_1 + b_1 z_2 + (\theta - \hat{\theta})^T \varphi_1(x_1). \quad (13)$$

Step 2: Let $z_3 = x_3 - \alpha_2$, we rewrite $D^q x_2 = b_2 x_3 + \theta^T \varphi_2(x_1, x_2)$ as

$$D^q z_2 = b_2(z_3 + \alpha_2) + \theta^T \varphi_2 + \frac{1}{b_1} (c_1 D^q z_1 + D^q(\hat{\theta} \varphi_1)). \quad (14)$$

Choose a Lyapunov function candidate as follows: $V_2 = V_1 + \frac{1}{2}z_2^2$. We have

$$\begin{aligned} D^q V_2 &\leq -c_1 z_1^2 + z_2 [b_1 z_1 + b_2(z_3 + \alpha_2) + \hat{\theta}^T \varphi_2] + \frac{z_2}{b_1} [c_1 D^q z_1 + D^q(\hat{\theta} \varphi_1)] \\ &\quad + \tilde{\theta}^T \Gamma^{-1} (D^q \hat{\theta} - \tau_2), \end{aligned} \quad (15)$$

where

$$\tau_2 = \Gamma \left(z_1 \varphi_1 + z_2 \varphi_2 - \frac{z_2}{\tilde{\theta} b_1} D^q(\hat{\theta} \varphi_1) \right) = \tau_1 + \Gamma z_2 \left(\varphi_2 - \frac{1}{\tilde{\theta} b_1} D^q(\hat{\theta} \varphi_1) \right). \quad (16)$$

Then, to make $D^q V_2 \leq -c_1 z_1^2 - c_2 z_2^2$, we would choose

$$\alpha_2(x_1, x_2, \hat{\theta}) = -\frac{1}{b_2} \left(b_1 z_1 + c_2 z_2 + \hat{\theta}^T \varphi_2 + \frac{c_1}{b_1} D^q z_1 \right). \quad (17)$$

However, we retain τ_2 as the second tuning function and α_2 as the second stabilizing function. The resulting $D^q V_2$ is

$$D^q V_2 \leq -c_1 z_1^2 - c_2 z_2^2 + b_2 z_2 z_3 + \tilde{\theta}^T \Gamma^{-1} (D^q \hat{\theta} - \tau_2). \quad (18)$$

The third term in $D^q V_2$ will be canceled at the next step.

Substituting (17) into (14) yields

$$D^q z_2 = -b_1 z_1 - c_2 z_2 + b_2 z_3 + (\theta - \hat{\theta})^T \varphi_2 + \frac{1}{b_1} D^q (\hat{\theta} \varphi_1). \quad (19)$$

Step 3: Let $z_4 = x_4 - \alpha_3$, we rewrite $D^q x_3 = b_3 x_4 + \theta^T \varphi_3(x_1, x_2, x_3)$ as

$$D^q z_3 = b_3(z_4 + \alpha_3) + \theta^T \varphi_3 + \frac{1}{b_2} (b_1 D^q z_1 + c_2 D^q z_2 + D^q (\hat{\theta} \varphi_2)). \quad (20)$$

Choose a Lyapunov function as $V_3 = V_2 + \frac{1}{2} z_3^2$. We have

$$\begin{aligned} D^q V_3 &\leq -c_1 z_1^2 - c_2 z_2^2 + z_3 [b_2 z_2 + b_3(z_4 + \alpha_3) + \hat{\theta}^T \varphi_3] \\ &\quad + \frac{z_3}{b_2} (b_1 D^q z_1 + c_2 D^q z_2 + D^q (\hat{\theta} \varphi_2)) + \tilde{\theta}^T \Gamma^{-1} (D^q \hat{\theta} - \tau_3), \end{aligned} \quad (21)$$

where

$$\tau_3 = \tau_2 + \Gamma z_3 \varphi_3 - \Gamma \frac{z_3}{\tilde{\theta} b_2} D^q (\hat{\theta} \varphi_2) = \tau_2 + \Gamma z_3 \left(\varphi_3 - \frac{1}{\tilde{\theta} b_2} D^q (\hat{\theta} \varphi_2) \right). \quad (22)$$

Then, to make $D^q V_3 \leq -c_1 z_1^2 - c_2 z_2^2 - c_3 z_3^2$, we would choose

$$\alpha_3(x_1, x_2, x_3, \hat{\theta}) = -\frac{1}{b_3} \left(b_2 z_2 + c_3 z_3 + \hat{\theta}^T \varphi_3 + \frac{b_1}{b_2} D^q z_1 + \frac{c_2}{b_2} D^q z_2 \right). \quad (23)$$

However, we retain τ_3 as the third tuning function and α_3 as the third stabilizing function.

The resulting $D^q V_3$ is

$$D^q V_3 \leq -c_1 z_1^2 - c_2 z_2^2 - c_3 z_3^2 + b_3 z_3 z_4 + \tilde{\theta}^T \Gamma^{-1} (D^q \hat{\theta} - \tau_3). \quad (24)$$

Substituting (23) into (20) yields

$$D^q z_3 = -b_2 z_2 - c_3 z_3 + b_3 z_4 + (\theta - \hat{\theta})^T \varphi_3 + \frac{1}{b_2} D^q (\hat{\theta} \varphi_2). \quad (25)$$

Step i ($i \geq 2$): Let $z_{i+1} = x_{i+1} - \alpha_i$, we rewrite $D^q x_i = b_i x_{i+1} + \theta^T \varphi_i(x_1, \dots, x_i)$ as

$$D^q z_i = b_i(z_{i+1} + \alpha_i) + \theta^T \varphi_i + \frac{1}{b_{i-1}} (b_{i-2} D^q z_{i-2} + c_{i-1} D^q z_{i-1} + D^q (\hat{\theta} \varphi_{i-1})). \quad (26)$$

Choose a Lyapunov function of the form $V_i = V_{i-1} + \frac{1}{2} z_i^2$. Then

$$\begin{aligned} D^q V_i &\leq -\sum_{k=1}^{i-1} c_k z_k^2 + z_i [b_{i-1} z_{i-1} + b_i(z_{i+1} + \alpha_i) + \hat{\theta}^T \varphi_i] \\ &\quad + \frac{z_i}{b_{i-1}} (b_{i-2} D^q z_{i-2} + c_{i-1} D^q z_{i-1} + D^q (\hat{\theta} \varphi_{i-1})) + \tilde{\theta}^T \Gamma^{-1} (D^q \hat{\theta} - \tau_i), \end{aligned} \quad (27)$$

where

$$\tau_i = \tau_{i-1} + \Gamma z_i \left(\varphi_i - \frac{1}{\tilde{\theta} b_{i-1}} D^q (\hat{\theta} \varphi_{i-1}) \right). \quad (28)$$

Then, to make $D^q V_i \leq -\sum_{k=1}^i c_k z_k^2$, we would choose

$$\alpha_i(x_1, \dots, x_i, \hat{\theta}) = -\frac{1}{b_i} \left(b_{i-1} z_{i-1} + c_i z_i + \hat{\theta}^T \varphi_i + \frac{b_{i-2}}{b_{i-1}} D^q z_{i-2} + \frac{c_{i-1}}{b_{i-1}} D^q z_{i-1} \right). \quad (29)$$

However, we retain τ_i as the i th tuning function and α_i as the i th stabilizing function. The resulting $D^q V_i$ is

$$D^q V_i \leq -\sum_{k=1}^i c_k z_k^2 + b_i z_i z_{i+1} + \tilde{\theta}^T \Gamma^{-1} (D^q \hat{\theta} - \tau_i). \quad (30)$$

Substituting (29) into (26) yields

$$D^q z_i = -b_{i-1} z_{i-1} - c_i z_i + b_i z_{i+1} + (\theta - \hat{\theta})^T \varphi_i + \frac{1}{b_{i-1}} D^q (\hat{\theta} \varphi_{i-1}). \quad (31)$$

Step n : With $z_n = x_n - \alpha_{n-1}$, we rewrite $D^q x_n = \varphi_0(x) + \theta^T \varphi_n(x) + b_n \beta_0(x) u$ as

$$D^q z_n = \varphi_0 + \theta^T \varphi_n + b_n \beta_0(x) u + \frac{1}{b_{n-1}} (b_{n-2} D^q z_{n-2} + c_{n-1} D^q z_{n-1} + D^q (\hat{\theta}^T \varphi_{n-1})), \quad (32)$$

and we now design the Lyapunov function as $V_n = V_{n-1} + \frac{1}{2} z_n^2$; we have

$$\begin{aligned} D^q V_n &\leq -\sum_{k=1}^{n-1} c_k z_k^2 + z_n [b_{n-1} z_{n-1} + \varphi_0 + \theta^T \varphi_n + b_n \beta_0(x) u] \\ &\quad + \frac{z_n}{b_{n-1}} (b_{n-2} D^q z_{n-2} + c_{n-1} D^q z_{n-1} + D^q (\hat{\theta}^T \varphi_{n-1})) \\ &\quad + \tilde{\theta}^T \Gamma^{-1} (D^q \hat{\theta} - \tau_{n-1}). \end{aligned} \quad (33)$$

To eliminate $\hat{\theta} - \theta$ from $D^q V_n$ we choose the update law

$$D^q \hat{\theta} = \tau_n = \tau_{n-1} + \Gamma z_n \left(\varphi_n - \frac{1}{\tilde{\theta} b_{n-1}} D^q (\hat{\theta} \varphi_{n-1}) \right), \quad (34)$$

we rewrite $D^q V_n$ as

$$D^q V_n \leq -\sum_{k=1}^{n-1} c_k z_k^2 + \tilde{\theta}^T \Gamma^{-1} (D^q \hat{\theta} - \tau_n). \quad (35)$$

Finally, we choose

$$u = -\frac{1}{b_n \beta_0} \left(b_{n-1} z_{n-1} + c_n z_n + \varphi_0 + \hat{\theta}^T \varphi_n + \frac{b_{n-2}}{b_{n-1}} D^q z_{n-2} + \frac{c_{n-1}}{b_{n-1}} D^q z_{n-1} \right). \quad (36)$$

We have

$$D^q V_n \leq - \sum_{k=1}^n c_k z_k^2. \quad (37)$$

Substituting (36) into (32) yields

$$D^q z_n = -b_{n-1} z_{n-1} - c_n z_n + (\theta - \hat{\theta})^T \varphi_n + \frac{1}{b_{n-1}} D^q (\hat{\theta}^T \varphi_{n-1}). \quad (38)$$

According to Lemma 1, for the Lyapunov function V_n , there exist class- K functions γ_1 and γ_2 such that $\gamma_1(\|\eta\|) \leq V_n(\eta) \leq \gamma_2(\|\eta\|)$ where $\eta = [z_1, \dots, z_n, \tilde{\theta}]$.

Unless $z_i = 0$, we have $D^q V_n \leq 0$, thus there exists a class- K function γ_3 such that $D^q V_n \leq -\gamma_3(\|\eta\|)$.

According to Lemma 2, the z -system is asymptotically Mittag-Leffler stable. \square

Remark 5 In this paper, we constructed the virtual controllers and tuning functions to deal with the fractional stabilization problem, the backstepping technique has been extended to fractional-order systems. It should be noted that the Mittag-Leffler stability implies asymptotic stability [11]. Therefore, the Lyapunov direct method can be applied to obtain the asymptotical stability of the closed-loop system.

4 Simulation results

In this section, two examples are given to verify the effectiveness of the proposed scheme.

Example 1 We consider the following fractional-order nonlinear system:

$$\begin{aligned} D^q x_1 &= 3x_2 + 2x_1^2, \\ D^q x_2 &= u - 2x_1^2 - 2x_2 \sin(x_1), \end{aligned} \quad (39)$$

where $b_1 = 3$, $\theta = 2$, $\varphi_1 = 2x_1^2$, $\varphi_3 = -x_1^2 - x_2 \sin(x_1)$, $\Gamma = 1$ and we choose $q = 0.96$.

Step 1: Let $z_1 = x_1$ and $z_2 = x_2 - \alpha_1$, we rewrite $D^q x_1 = 3x_2 + 2x_1^2$ as

$$D^q z_1 = 3z_2 + 3\alpha_1 + 2x_1^2, \quad (40)$$

choose the Lyapunov function $V_1 = \frac{1}{2}z_1^2 + \frac{1}{2}(\hat{\theta} - 2)^T \Gamma^{-1}(\hat{\theta} - 2)$. Then

$$D^q V_1 \leq z_1(3z_2 + 3\alpha_1 + 2x_1^2) + (\hat{\theta} - 2)^T (D^q \hat{\theta} - \tau_1), \quad (41)$$

where $\tau_1 = x_1^3$. Meanwhile, we choose

$$\alpha_1 = -\frac{k_1}{3}z_1 - \frac{\hat{\theta}}{3}x_1^2. \quad (42)$$

Then

$$D^q V_1 \leq -k_1 z_1^2 + 3z_1 z_2 + (\hat{\theta} - 2)^T D^q (\hat{\theta} - \tau_1). \quad (43)$$

The second term $3z_1z_2$ in $D^q V_1$ will be canceled at the next step.

Substituting (42) into (40) yields

$$D^q z_1 = -k_1 z_1 + 3z_2 + (2 - \hat{\theta})x_1^2. \quad (44)$$

Step 2: Since $z_2 = x_2 - \alpha_1$, we have

$$D^q z_2 = u - 2x_1^2 - 2x_2 \sin(x_1) + \frac{k_1}{3}(3x_2 + 2x_1^2) + \frac{2\hat{\theta}}{3}x_1(3x_2 + 2x_1^2). \quad (45)$$

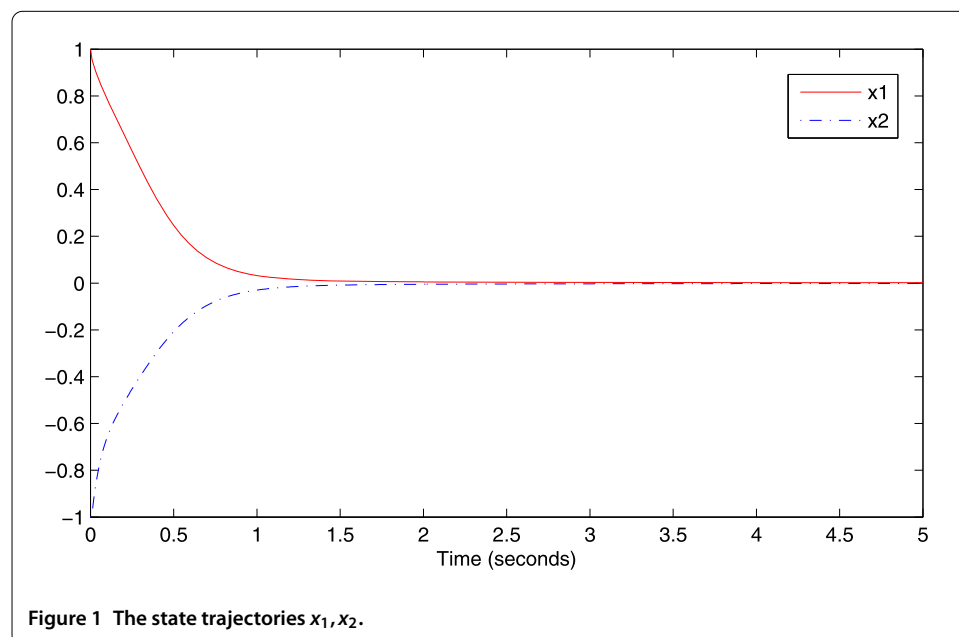
Choose the Lyapunov function $V_2 = V_1 + \frac{1}{2}z_2^2$. Then

$$\begin{aligned} D^q V_2 \leq & -k_1 z_1^2 + z_2 \left[3z_1 + u - 2x_1^2 - 2x_2 \sin(x_1) + \frac{k_1}{3}(3x_2 + 2x_1^2) + \frac{2\hat{\theta}}{3}x_1(3x_2 + 2x_1^2) \right] \\ & + (\hat{\theta} - 2)^T (D^q \hat{\theta} - \tau_2). \end{aligned} \quad (46)$$

Then, to make $D^q V_3 \leq -k_1 z_1^2 - k_2 z_2^2$, we would choose

$$u = -k_2 z_2 - 3z_1 + 2x_1^2 + 2x_2 \sin(x_1) - \frac{k_1}{3}(3x_2 + 2x_1^2) - \frac{2\hat{\theta}}{3}x_1(3x_2 + 2x_1^2). \quad (47)$$

In this simulation, $k_1 = 3$, $k_2 = 2$. The results for the initial state condition $x_1(0) = 1, x_2(0) = -1$ are given in Figures 1-3.



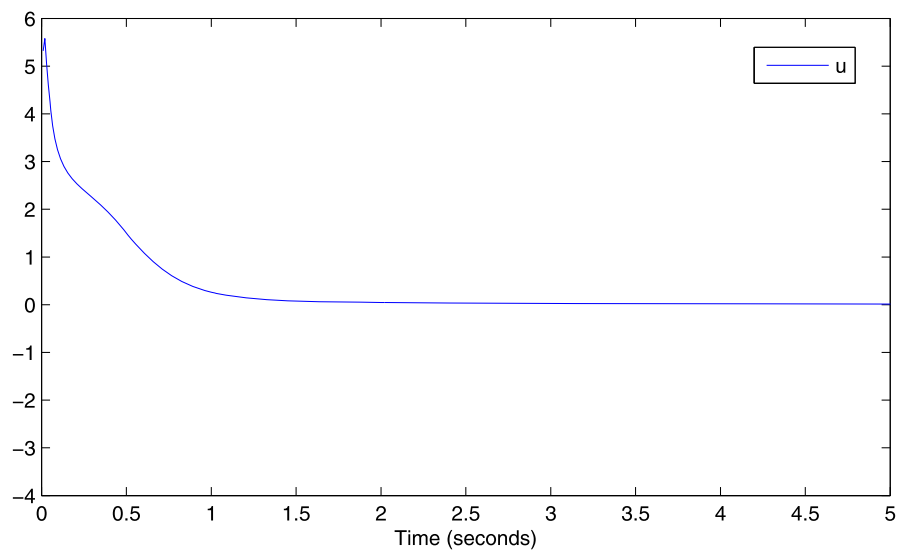


Figure 2 Control input u .

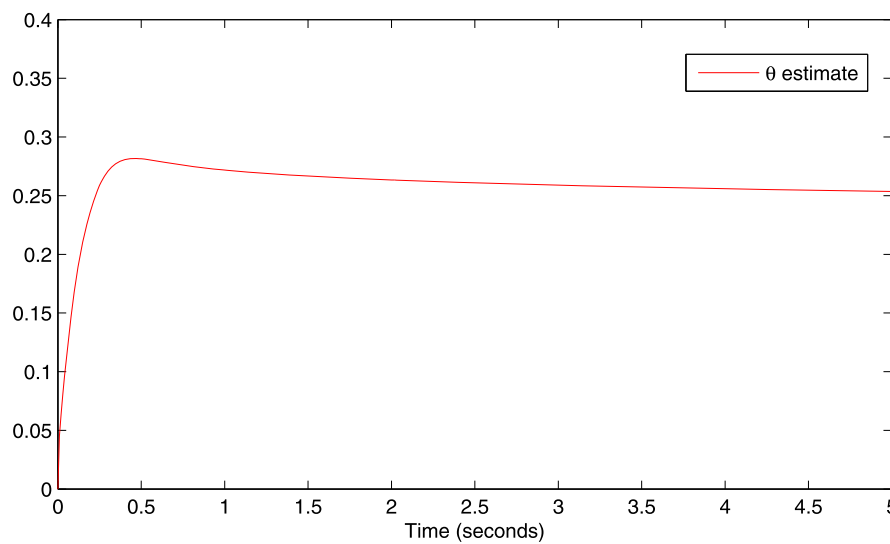


Figure 3 Parameter estimate θ .

Example 2 We consider the following fractional-order nonlinear system:

$$\begin{aligned} D^q x_1 &= b_1 x_2 + \theta x_1^2, \\ D^q x_2 &= b_2 x_3 + \theta x_1 x_2, \\ D^q x_3 &= u, \end{aligned} \tag{48}$$

where $b_1 = b_2 = 1$, $\theta = 2$, $\varphi_1(x_1) = x_1^2$, $\varphi_2(x_1, x_2) = x_1 x_2$ and $\Gamma = 1$, we choose $q = 0.96$.

Step 1: Let $z_1 = x_1$ and $z_2 = x_2 - \alpha_1$, we rewrite $D^q x_1 = x_2 + 2x_1^2$ as

$$D^q z_1 = z_2 + \alpha_1 + 2x_1^2. \quad (49)$$

Choose the Lyapunov function $V_1 = \frac{1}{2}z_1^2 + \frac{1}{2}(\hat{\theta} - 2)^T(\hat{\theta} - 2)$. Then

$$D^q V_1 \leq z_1(z_2 + \alpha_1 + \hat{\theta}^T x_1^2) + (\hat{\theta} - 2)^T(D^q \hat{\theta} - x_1^3), \quad (50)$$

we choose

$$\tau_1(x_1) = x_1^3, \quad (51)$$

$$\alpha_1(x_1, \hat{\theta}) = -k_1 x_1 - \hat{\theta}^T x_1^2, \quad (52)$$

we arrive at

$$D^q V_1 \leq -k_1 z_1^2 + z_1 z_2 + (\hat{\theta} - 2)^T(D^q \hat{\theta} - \tau_1). \quad (53)$$

The second term $z_1 z_2$ in $D^q V_1$ will be canceled at the next step. Notice that $D^q z_2 = D^q x_2 - D^q \alpha_1$, that is,

$$D^q z_2 = x_3 + 2x_1 x_2 + k_1(x_2 + 2x_1^2) + D^q(\hat{\theta}^T x_1^2). \quad (54)$$

Let $z_3 = x_3 - \alpha_2$, choose a Lyapunov function as $V_2 = V_1 + \frac{1}{2}z_2^2$. Then

$$D^q V_2 \leq -k_1 z_1^2 + z_2[z_1 + z_3 + 2x_1 x_2 + \alpha_2 + k_1(x_2 + 2x_1^2)] + (\hat{\theta} - 2)^T(D^q \hat{\theta} - \tau_2), \quad (55)$$

where $D^q \hat{\theta} = \tau_2 = \tau_1 - \frac{z_2}{\hat{\theta} - 2} D^q(\hat{\theta}^T x_1^2)$. Then, to make $D^q V_2 \leq -k_1 z_1^2 - k_2 z_2^2 + z_2 z_3 + (\hat{\theta} - 2)^T(D^q \hat{\theta} - \tau_2)$, we would choose

$$\alpha_2(x_1, x_2, \hat{\theta}) = -x_1 - k_2(x_2 + k_1 x_1 + \hat{\theta}^T x_1^2) - 2x_1 x_2 - k_1(x_2 + 2x_1^2). \quad (56)$$

Choose the Lyapunov candidate function $V_3 = V_2 + \frac{1}{2}z_3^2$. Then

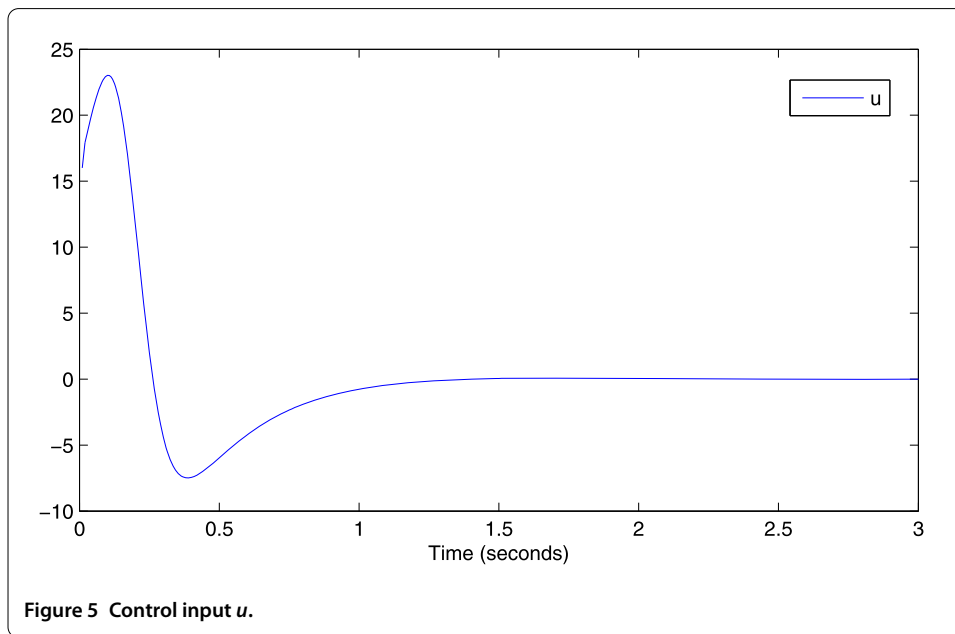
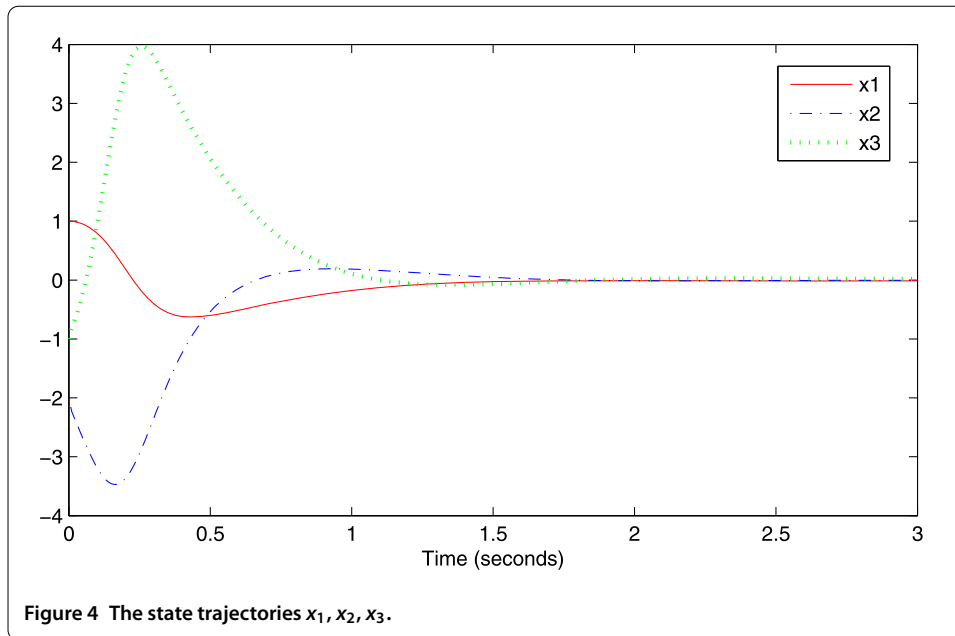
$$\begin{aligned} D^q V_3 \leq & -k_1 z_1^2 - k_2 z_2^2 + z_3[z_2 + u + x_2 + 2x_1^2 + k_2(x_3 + 2x_1 x_2 + k_1(x_2 + 2x_1^2)) \\ & + 4\hat{\theta} x_1(x_2 + 2x_1^2) + k_1(x_3 + 2x_1 x_2 + 4x_1(x_2 + 2x_1^2))] \\ & + (\hat{\theta} - 2)^T(D^q \hat{\theta} - \tau_3), \end{aligned} \quad (57)$$

where $D^q \hat{\theta} = \tau_3 = \tau_2 - \frac{z_3}{\hat{\theta} - 2} D^q(\hat{\theta}^T x_1 x_2)$, we choose

$$\begin{aligned} u = & -z_2 - k_3 z_3 - x_2 - 2x_1^2 - k_2(x_3 + 2x_1 x_2 + k_1(x_2 + 2x_1^2)) \\ & - 4\hat{\theta} x_1(x_2 + 2x_1^2) - k_1 k_2(x_3 + 2x_1 x_2 + 4x_1(x_2 + 2x_1^2)), \end{aligned} \quad (58)$$

we obtain

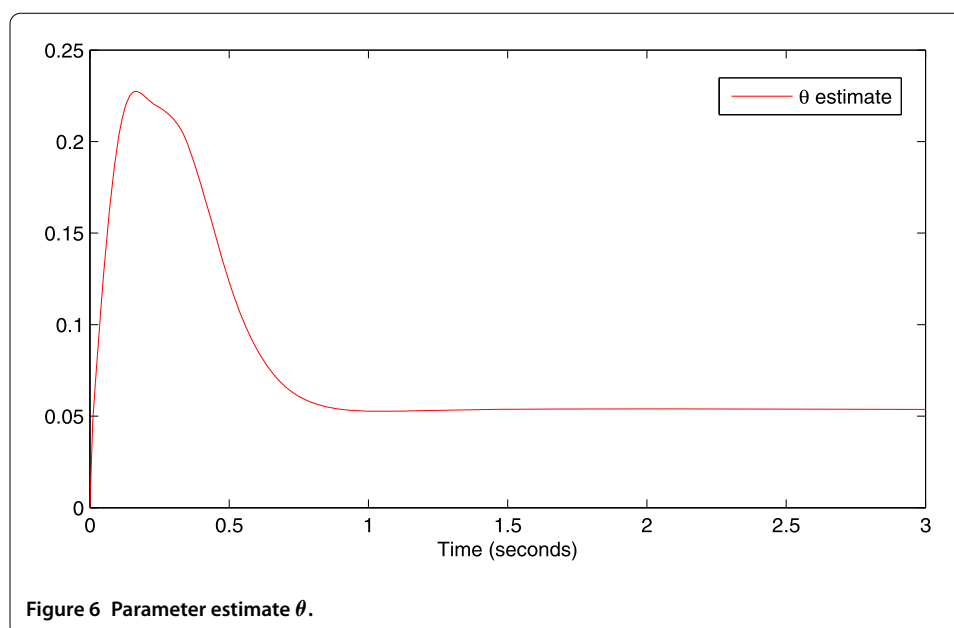
$$D^q V_3 \leq -\sum_{i=1}^3 k_i z_i^2. \quad (59)$$



In this simulation, $k_1 = k_2 = k_3 = 1$. The results for the initial state condition $x_1(0) = 1$, $x_2(0) = -2$, $x_3(0) = -1$ are given in Figures 4-6.

5 Conclusions

The problem of Mittag-Leffler stabilization has been investigated for a class of fractional-order nonlinear systems with the unknown control coefficients. The backstepping design scheme is extended to fractional-order systems, and an adaptive control law is proposed with fractional-order update laws to achieve an asymptotical Mittag-Leffler stabilization for the close-loop system, and the tuning function is constructed to avoid overparam-



terization. Finally, the effectiveness of the proposed method has been verified by some simulation examples.

Acknowledgements

The work is supported in part by the National Natural Science Foundation of China (No. 11661065) and the Scientific Research Fund of Jiangxi Provincial Education Department (GJJ171135, GJJ151264, GJJ151267, GJJ161261).

Competing interests

The author declares to have no conflicts of interest.

Authors' contributions

The author read and approved the final manuscript.

Publisher's Note

Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Received: 8 September 2017 Accepted: 31 December 2017 Published online: 15 January 2018

References

1. Zhou, Y: Advances in fractional differential equations. III. *Comput. Math. Appl.* **64**(10), 2965 (2012)
2. Baleanu, D, Jajarmi, A, Hajipour, M: A new formulation of the fractional optimal control problems involving Mittag-Leffler nonsingular kernel. *J. Optim. Theory Appl.* **175**(3), 718-737 (2017)
3. Baleanu, D, Wu, GC, Bai, YR, Chen, FL: Stability analysis of Caputo-like discrete fractional systems. *Commun. Nonlinear Sci. Numer. Simul.* **48**, 520-530 (2017)
4. Baleanu, D, Wu, GC, Zeng, SD: Chaos analysis and asymptotic stability of generalized Caputo fractional differential equations. *Chaos Solitons Fractals* **102**, 99-105 (2017)
5. Hajipour, M, Jajarmi, A, Baleanu, D: An efficient non-standard finite difference scheme for a class of fractional chaotic systems. *J. Comput. Nonlinear Dyn.* **13**(2), 021013 (2017)
6. Jajarmi, A, Hajipour, M, Baleanu, D: New aspects of the adaptive synchronization and hyperchaos suppression of a financial model. *Chaos Solitons Fractals* **99**, 285-296 (2017)
7. Ding, DS, Qi, DL, Wang, Q: Non-linear Mittag-Leffler stabilisation of commensurate fractional-order non-linear systems. *IET Control Theory Appl.* **9**(5), 681-690 (2015)
8. Ding, DS, Qi, DL, Meng, Y, Xu, L: Adaptive Mittag-Leffler stabilization of commensurate fractional-order nonlinear systems. In: *Proceedings of 53rd IEEE CDC*, pp. 6920-6926 (2014)
9. Ding, DS, Qi, DL, Peng, JM, Wang, Q: Asymptotic pseudo-state stabilization of commensurate fractional-order nonlinear systems with additive disturbance. *Nonlinear Dyn.* **81**, 667-677 (2015)
10. Li, Y, Chen, YQ, Podlubny, I: Mittag-Leffler stability of fractional order nonlinear dynamic systems. *Automatica* **45**, 1965-1969 (2009)
11. Li, Y, Chen, YQ, Podlubny, I: Stability of fractional-order nonlinear dynamic systems: Lyapunov direct method and generalized Mittag-Leffler stability. *Comput. Math. Appl.* **59**(5), 1810-1821 (2010)

12. Shukla, MK, Sharma, BB: Stabilization of a class of fractional order chaotic systems via backstepping approach. *Chaos Solitons Fractals* **98**, 56-62 (2017)
13. Wang, Q, Zhang, JL, Ding, DS, Qi, DL: Adaptive Mittag-Leffler stabilization of a class of fractional order uncertain nonlinear systems. *Asian J. Control* **18**(6), 2343-2351 (2016)
14. Shen, J, Lam, J: Stability and performance analysis for positive fractional-order systems with time-varying delays. *IEEE Trans. Autom. Control* **61**(9), 2676-2681 (2016)
15. Lu, JG, Chen, YQ: Robust stability and stabilization of fractional-order interval systems with the fractional order α : the $0 < \alpha < 1$ case. *IEEE Trans. Autom. Control* **55**(1), 152-158 (2010)
16. Farges, C, Moze, M, Sabatier, J: Pseudo-state feedback stabilization of commensurate fractional order systems. *Automatica* **46**(10), 1730-1734 (2010)
17. Li, Y, Chen, YQ, Podlubny, I: Reply to "Comments on 'Mittag-Leffler stability of fractional order nonlinear dynamic systems' [Automatica 45(8) (2009) 1965-1969]". *Automatica* **75**, 330 (2017)
18. Chen, LP, He, YG, Chai, Y, Wu, RC: New results on stability and stabilization of a class of nonlinear fractional-order systems. *Nonlinear Dyn.* **75**, 633-641 (2014)
19. Chen, LP, Wu, RC, Cao, JD, Liu, JB: Stability and synchronization of memristor-based fractional-order delayed neural networks. *Neural Netw.* **71**, 37-44 (2015)
20. Yu, JM, Hu, H, Zhou, SB, Lin, XR: Generalized Mittag-Leffler stability of multi-variables fractional order nonlinear systems. *Automatica* **49**, 1798-1803 (2013)
21. Trigeassou, JC, Maamri, N, Sabatier, J, Oustaloup, A: A Lyapunov approach to the stability of fractional differential equations. *Signal Process.* **91**, 437-445 (2011)
22. Ke, YQ, Miao, CF: Mittag-Leffler stability of fractional-order Lorenz and Lorenz-family systems. *Nonlinear Dyn.* **83**, 1237-1246 (2016)
23. Zhao, YG, Wang, YZ, Zhang, XF, Li, HT: Feedback stabilisation control design for fractional order non-linear systems in the lower triangular form. *IET Control Theory Appl.* **10**(9), 1061-1068 (2016)
24. Aguila-Camacho, N, Duarte-Mermoud, MA, Gallegos, JA: Lyapunov functions for fractional order systems. *Commun. Nonlinear Sci. Numer. Simul.* **19**(9), 2951-2957 (2014)
25. Li, HL, Hu, C, Jiang, YL, Zhang, L, Teng, ZD: Global Mittag-Leffler stability for a coupled system of fractional-order differential equations on network with feedback controls. *Neurocomputing* **214**, 233-241 (2016)
26. Shen, J, Lam, J: Non-existence of finite-time stable equilibria in fractional-order nonlinear systems. *Automatica* **50**(2), 547-551 (2014)
27. Bigdeli, N, Ziazi, HA: Finite-time fractional-order adaptive intelligent backstepping sliding mode control of uncertain fractional-order chaotic systems. *J. Franklin Inst.* **354**, 160-183 (2017)
28. Wei, YH, Du, B, Cheng, SS, Wang, Y: Fractional order systems time-optimal control and its application. *J. Optim. Theory Appl.* **174**(1), 122-138 (2017)
29. Hua, CC, Zhang, T, Li, YF, Guan, XP: Robust output feedback control for fractional order nonlinear systems with time-varying delays. *IEEE/CAA Journal of Automatica Sinica* **3**(4), 477-482 (2016)
30. Shen, J, Lam, J: State feedback H_∞ control of commensurate fractional-order systems. *Int. J. Syst. Sci.* **45**(3), 363-372 (2014)
31. Krstić, M, Kanellakopoulos, I, Kokotović, PV: *Nonlinear and Adaptive Control Design*. Wiley, New York (1995)
32. Ding, ZT: Adaptive control of non-linear systems with unknown virtual control coefficients. *Int. J. Adapt. Control Signal Process.* **14**(5), 505-517 (2000)
33. Krstić, M, Kanellakopoulos, I, Kokotović, PV: Adaptive nonlinear control without overparametrization. *Syst. Control Lett.* **19**, 177-185 (1992)
34. Kanellakopoulos, I, Kokotović, PV, Morse, AS: Systematic design of adaptive controllers for feedback linearizable systems. *IEEE Trans. Autom. Control* **36**, 1241-1253 (1991)
35. Chen, WT, Xu, CX: Adaptive nonlinear control with partial overparametrization. *Syst. Control Lett.* **44**(1), 13-24 (2001)
36. Krstić, M, Kokotović, PV: Control Lyapunov functions for adaptive nonlinear stabilization. *Syst. Control Lett.* **26**(1), 17-23 (1995)
37. Wei, YH, Chen, YQ, Liang, S, Wang, Y: A novel algorithm on adaptive backstepping control of fractional order systems. *Neurocomputing* **165**, 395-402 (2015)
38. Wei, YH, Tse, PW, Yao, Z, Wang, Y: Adaptive backstepping output feedback control for a class of nonlinear fractional order systems. *Nonlinear Dyn.* **86**, 1047-1056 (2016)
39. Sheng, D, Wei, YH, Cheng, SS, Shuai, JM: Adaptive backstepping control for fractional order systems with input saturation. *J. Franklin Inst.* **354**, 2245-2268 (2017)
40. Arshad, M, Lu, DC, Wang, J: $(N + 1)$ -dimensional fractional reduced differential transform method for fractional order partial differential equations. *Commun. Nonlinear Sci. Numer. Simul.* **48**, 509-519 (2017)